

SEC CODE QUESTIONS

- 1) Which list contains only SEC Codes that are zero dollar entries?
 - a) ACK, COR, DNE, ENR
 - b) DNE, PPD, COR, ENR
 - c) ATX, COR, DNE, ARC
 - d) MTE, COR, DNE, XCK

- 2) Which list contains the SEC codes that are debit only transactions?
 - a) ARC, CCD, POP, RCK
 - b) ARC, RCK, POP, TEL
 - c) XCK, PPD, IAT, POS
 - d) POS, ARC, BOC, CTX

- 3) Which list contains corporate only transactions?
 - a) ACK, ADV, ATX, EDI
 - b) ACK, CCD, DNE, XCK
 - c) ACK, ATX, CCD, CTX
 - d) IAT, PPD, ACK, ATX

- 4) Which list contains SEC codes that can be corporate or consumer transactions?
 - a) CCD, RCK, ARC, POP
 - b) RCK, ARC, POP, BOC
 - c) ARC, POP, BOC, TRC
 - d) RCK, DNE, TRC, TRX

- 5) Which list contains SEC codes that have mandatory addenda requirements?
 - a) COR, DNE, IAT, POS
 - b) DNE MTE, POS, PPD
 - c) COR, CCD, TRX, ENR
 - d) IAT, PPD, ARC, CTX

Handout C

- 6) Which list contains SEC codes that can carry addenda records but it is optional?
- a) RCK, PPD, CCD, CIE, CTX
 - b) PPD, ARC, CCD, CIE, WEB
 - c) ACK, PPD, CCD, CIE, CTX
 - d) CCD, CIE, CTX, TRX
- 7) Which list contains SEC Codes that can carry up to 9,999 addenda records?
- a) ENR, DNE, CTX
 - b) ENR, TRX, CTX
 - c) DNE, ATX, CTX
 - d) TRX, ATX, XCK
- 8) Which list contains SEC Codes that cannot carry an addenda record?
- a) TEL, XCK, TRC, POP
 - b) PPD, RCK, POP, ARC
 - c) ARC, BOC, WEB, TEL
 - d) POP, PPD, ATX, CIE